Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (03-09)
Approved for use through 04/30/2009. OMB 0651-0031

DIMATION DISCIOSURE Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Attorney Docket Numi	per	21242/280A 3421-CIP-II	
	Examiner Name	Trotte	otter, Scott S	
	Art Unit		3694	
	First Named Inventor Nishin		lishimaki	
	Filing Date		2003-11-25	
	Application Number		10722018	

U.S.PATENTS Pages, Columns, Lines where Cite Examiner Name of Patentee or Applicant Kind Patent Number Issue Date Relevant Passages or Relevant Initial* No Code¹ of cited Document Figures Appear 6233566 **B1** 2001-05-15 Levine et al. 2 ' 5915209 1999-06-22 Lawrence 3 6014643 2000-01-11 Minton 4 5845266 1998-12-01 Lupien et al. If you wish to add additional U.S. Patent citation information please click the Add button. **U.S.PATENT APPLICATION PUBLICATIONS** Pages, Columns, Lines where Examiner Cite **Publication** Kind Name of Patentee or Applicant **Publication Number** Relevant Passages or Relevant Initial* No Code¹ Date of cited Document Figures Appear 1 If you wish to add additional U.S. Published Application citation information please click the Add button. FOREIGN PATENT DOCUMENTS Pages, Columns, Lines

Name of Patentee or Examiner Cite Foreign Document Country Kind Publication where Relevant Applicant of cited Initial* No Number³ Code2 i Code4 Date Passages or Relevant Document Figures Appear

Receipt date: 04/27/2009 10722018 - GAU: 3694

INFORMATIO	N DISCLOSURE
STATEMENT	BY APPLICANT

ţ

(Not for submission under 37 CFR 1.99)

		,0,22010	W10.00		
Application Number					
	2003-11-25				
Nishi	maki				
	3694				
Trott	Trotter, Scott S				
Attorney Docket Number		IP-II			
	Trotte	Nishimaki 3694 Trotter, Scott S	10722018 2003-11-25 Nishimaki 3694 Trotter, Scott S		

	1	WO 01/25996	wo	A1	2001-04-12	Bloomberg LP		
	2	EP 1 118 953	EP	A2	2001-07-25	OptiMark, Inc.		
	3	WO 01/43026	wo	A1	2001-06-14	Blue Spike, Inc.		
	4	JP 2001-216403	JP		2001-08-10	Shirakawa		×
	5	JP 2001-202469	JP		2001-07-27	Sony Corp		×
	6	JP 2001-236456	JP		2001-08-31	Terada		×
If you wish to add additional Foreign Patent Document citation information please click the Add button								
			NON-PATE	NT LITE	RATURE DO	CUMENTS		
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						
	1	E*TRADE, "After hours trading," http://web.archive.org/web/ 2000621204404/www.etrade.com, 2000, pages 1-6						
	2	MRUTYUNJAYA SWAIN et al., "Database programming using java," Proceedings IEEE SoutheastCon, April 5, 2002, pages 220-225, IEEE						
	3	ALAN LIU et al., "Security issues on server-side credit-based electronic payment systems," Proceedings of the 3rd International Symposium on Electronic Commerce, October 18, 2002, pages 47-57, IEEE						

Receipt date: 04/27/2009 10722018 - GAU: 3694

INFORMA	TION D	DISCLO	SURE
STATEME	NT BY	APPLIC	CANT

(Not for submission under 37 CFR 1.99)

		40700040		
Application Number		10722018		
Filing Date		2003-11-25		
First Named Inventor	Nishir	maki		
Art Unit		3694		
Examiner Name	Trotte	Trotter, Scott S		
Attorney Docket Number		21242/280A 3421-CIP-II		

	4	STAVROS PAPASTAVROU et al., "A survey on the java-based approaches for web database connectivity," 10th Mediterranean Electrotechnical Conference Vol.1, May 29, 2000, pages 290-293, IEEE					
If you wis	h to a	dd additi	onal non-patent literature document	citation information please click the	Add bu	utton	
			EXAMIN	IER SIGNATURE			
Examiner Signature /Scott Trotter/		Date Consider	red	07/15/2009			
			ference considered, whether or not cance and not considered. Include considered.				
Standard S	T.3). ³ I cument	For Japane by the app	Patent Documents at www.uspto.gov or M se patent documents, the indication of the year opriate symbols as indicated on the documents attached.	ar of the reign of the Emperor must precede	the seria	al number of the patent doc	cument.